

Lecture 6

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Recall: Hartogs-II: Let $\Omega \subseteq \mathbb{C}^n$, $K \subset \subset \Omega$ s.t. $\Omega \setminus K$ is connected. If u is holom. in $\Omega \setminus K$, then $\exists U$ holom. in Ω s.t. $U|_{\Omega \setminus K} = u$.

Brief review of pf:

Let $\varphi \in C_0^\infty(\Omega)$ s.t. $\varphi \equiv 1$ on K , $u_0 = (1-\varphi)u$, and we look for $U = u_0 + v$. We set $f = -\bar{\partial}u_0$ and extend by 0 outside Ω to $f \in C_{0,(0,1)}^\infty(\mathbb{C}^n)$ w/ support in $\text{supp } \varphi \subset \subset \Omega \subseteq \mathbb{C}^n$. Since $\bar{\partial}f = 0$, we can solve $\bar{\partial}v = f$ for $v \in C_0^\infty(\mathbb{C}^n)$ and $v \equiv 0$ in unbdd component G_∞ of $\mathbb{C}^n \setminus \text{supp } \varphi$.

Ex: If $K' \subset \subset \Omega$ (compact), then for every component G of $\mathbb{C}^n \setminus K'$, we have $\Omega \cap G \neq \emptyset$.

Ex $\Rightarrow G_\infty \cap \Omega$ is a nonempty open subset of $\Omega \setminus K$ (since $K \subseteq \text{supp } \varphi$). Thus, $U = u$ on open subset of $\Omega \setminus K$, which is connected $\Rightarrow U = u$ on $\Omega \setminus K$ as desired.

Prop 1. Let u be holom. in a polydisk $D^n \subseteq \mathbb{C}^n$ and let $Z_u = \{z \in D^n : u(z) = 0\}$. If $Z_u \neq \emptyset$, then Z_u is not compact in D^n .

Rem. Clearly not true in a disk $D \subseteq \mathbb{C}$.

Pf. Suppose $Z_u \neq \emptyset$, $Z_u \subset \subset D^n$ compact. Then \exists smaller poly disk $E^n = E_1 \times \dots \times E_n$, $\bar{E}_j \subseteq D_j$, such that $Z_u \subseteq E^n$. But $K = \bar{E}^n$ is compact in D^n , $D^n \setminus K$ connected, and $v = \frac{1}{u}$ is holomorphic in $D^n \setminus K$. By Hartogs-II, v is holomorphic in D^n , i.e. across Z_u , which is easily seen to be impossible. \square

Boundary version of Hartogs - II.

Bochner's Thm. Let $\Omega \subseteq \mathbb{C}^n$, be bdd, $\mathbb{C}^n \setminus \bar{\Omega}$ connected, and $\partial\Omega$ smooth, i.e. $\exists \rho \in C^\infty(\mathbb{C}^n, \mathbb{R})$ s.t. $\partial\Omega = \{z \in \mathbb{C}^n : \rho(z) = 0\}$, $d\rho \neq 0$ on $\partial\Omega$ (a defining function for $\partial\Omega$). If $u \in C^\infty(\bar{\Omega})$ and $\bar{\partial}u \wedge \bar{\partial}\rho = 0$ on $\partial\Omega$ ($u|_{\partial\Omega}$ is CR), then $\exists U \in C^\infty(\bar{\Omega})$ s.t. U holom. in Ω and $U|_{\partial\Omega} = u$.

Rem. ① If such U exists, $U - u = a\rho \Rightarrow \bar{\partial}U - \bar{\partial}u = a\bar{\partial}\rho$ on $\partial\Omega = \{\rho = 0\}$. Thus, since U holom., $\bar{\partial}u \wedge \bar{\partial}\rho = a\bar{\partial}\rho \wedge \bar{\partial}\rho = 0$.

② Ex. $\bar{\partial}u \wedge \bar{\partial}\rho = 0$ on $\partial\Omega$ can be reformulated:

$$(CR) \quad \forall z \in \partial\Omega: \sum_{j=1}^n c_j \frac{\partial u}{\partial \bar{z}_j}(z) = 0, \quad \forall c = (c_1, \dots, c_n) \text{ s.t. } \sum_{j=1}^n \frac{\partial \rho}{\partial \bar{z}_j}(z) c_j = 0$$

This is a condition that depends only on $u|_{\partial\Omega}$! Functions $u \in C^1(\partial\Omega)$ that satisfy (CR) are called CR functions, and (CR) are called the tangential Cauchy-Riemann equations.

Pf of Bochner. Idea of pf is similar to Hartogs. Want to construct $U = u + v$
 $\Rightarrow \bar{\partial}v = f = -\bar{\partial}u$, but now $\bar{\partial}u$ does not have compact support in Ω .
 Now, $\bar{\Omega}$ is compact in \mathbb{C}^n , but extending f by 0 in $\mathbb{C}^n \setminus \bar{\Omega}$ is not even continuous. We shall here prove existence of $U \in C^1(\bar{\Omega})$ only.

Step 1. Find $U_0 \in C^2(\bar{\Omega})$, $U_0|_{\partial\Omega} = u$, $\bar{\partial}U_0 = O(\rho^2)$ (vanishes to 2nd order on $\partial\Omega$). By assumption, $\bar{\partial}u = h_0 \bar{\partial}\rho + h_1 \rho$, $h_0 \in C^\infty(\bar{\Omega})$, $h_1 \in C_{(0,1)}^\infty(\bar{\Omega})$.
 $\Rightarrow \bar{\partial}(u - h_0 \rho) = \bar{\partial}u - h_0 \bar{\partial}\rho - \bar{\partial}h_0 \rho = \underbrace{(h_1 - \bar{\partial}h_0)}_{h_2} \rho = h_2 \rho$, $h_2 \in C_{(0,1)}^\infty(\bar{\Omega})$

Applying $\bar{\partial}$ again $\Rightarrow 0 = \bar{\partial}(h_2 \rho) = \rho \bar{\partial}h_2 + h_2 \wedge \bar{\partial}\rho \Rightarrow h_2 \wedge \bar{\partial}\rho = 0$ on $\partial\Omega$.
 $\Rightarrow h_2 = h_3 \bar{\partial}\rho + h_4 \rho$, $h_3 \in C^\infty(\bar{\Omega})$, $h_4 \in C_{(0,1)}^\infty(\bar{\Omega})$.

$$\Rightarrow h_2^U = h_3 \bar{\partial} \rho + h_4 \rho, \quad h_3 \in \mathcal{C}^0(\bar{\Omega}), \quad h_4 \in \mathcal{C}_{(0,1)}^0(\bar{\Omega}).$$

Consider $U_0 = u - h_0 \rho - h_3 \frac{\rho^2}{2}$. Clearly, $U_0|_{\partial\Omega} = u$. And

$$\begin{aligned} \bar{\partial} U_0 &= \bar{\partial}(u - h_0 \rho) - h_3 \rho \bar{\partial} \rho - \frac{\rho^2}{2} \bar{\partial} h_3 = \underbrace{\rho(h_2 - h_3 \bar{\partial} \rho)}_{h_4 \rho} - \frac{\rho^2}{2} \bar{\partial} h_3 \\ &= O(\rho^2). \end{aligned}$$

Step 2. Let $f \in \mathcal{C}_{0,(0,1)}^1(\mathbb{C}^n)$ by $f = -\bar{\partial} U_0$ in $\bar{\Omega}$ and 0 in $\mathbb{C}^n \setminus \bar{\Omega}$.

The fact that f is \mathcal{C}^1 follows from $\bar{\partial} U_0 = O(\rho^2)$! Again $\bar{\partial} f = 0$.

Thus, by Thm on $\bar{\partial}$ -eq. w/ compact supp, $\exists v \in \mathcal{C}_0^1(\mathbb{C}^n)$, $v \equiv 0$ in unbdd component G_∞ of $\mathbb{C}^n \setminus \bar{\Omega}$, s.t. $\bar{\partial} v = f$.

Consider $U = U_0 + v$. Then, $U \in \mathcal{C}^1(\bar{\Omega})$, holom. in Ω since $\bar{\partial} U = \bar{\partial} U_0 + \bar{\partial} v = 0$ by construction of v . Moreover, since $v \equiv 0$ in G_∞ and $\mathbb{C}^n \setminus \bar{\Omega} = G_\infty$ (since $\mathbb{C}^n \setminus \bar{\Omega}$ is connected), $v = 0$ on $\partial\Omega \Rightarrow U|_{\partial\Omega} = U_0|_{\partial\Omega} = u$.

This proves existence of $U \in \mathcal{C}^1(\bar{\Omega})$. To get $U \in \mathcal{C}^k(\bar{\Omega})$, $k \geq 2$, we repeat step 1 to get U_0 w/ $\bar{\partial} U_0 = O(\rho^{k+1})$. For \mathcal{C}^∞ , this is more technical, but possible. \square